WATTS, HOFFMANN, FISHER & HEINKE CO., L.P.A. ATTORNEYS AT LAW 1100 SUPERIOR AVE.; STE: 1750 CLEVELAND, OHIO 44114 TEL. (216) 241-6700 TELEPHONE COPIER (216) 241-8151

TO:

Examiner Catherine M. Colon, GAU 2163 fax-(703) 305-7687

FROM:

Stephen J. Schultz, Reg. No. 29,108

DATE:

March 26, 2003

NUMBER OF PAGES (NOT INCLUDING THIS): 19

In re application of: Usama F. Fayyad et al.

Serial No.:

09/401,439

Group Art Unit: 2163

Filing Date: September 22, 1999

Examiner:

C.M. Colon

For:

DATA MINING FOR MANAGING MARKETING RESOURCES

Our Docket: 15-948 - 4254

3663

Transmitted herewith is an Amendment and Transmittal for this application.

FAX RECEIVED Highest No. Claims Remaining Previously **Additional** After Amendment Paid For Fee 43 64 (Indep.) 8 9

Applicant believes no additional claim fee is required. If any additional extension and/or fee is required, this is the request therefor, and if any additional fee for claims is required, charge A/C #23-0630.

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown beløw.

unny



Attorney's Docket No. 15-948 - 4254

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the

USAMA M. FAYYAD ET AL.

CATHERINE M.

Application of:

Examiner:

COLON

Application No.:

09/401,439

Art Unit:

2163

Filing Date:

09/22/99

Title:

DATA MINING FOR MANAGING

MARKETING RESOURCES

AMENDMENT TRANSMITTAL

The Assistant Commissioner for Patents BOX AMENDMENT NO FEE Washington, D.C. 20231

Transmitted herewith is an amendment for this application.

OFFICIAL

FAX RECEIVED

MAR 2 7 2003

GROUP 3600

The fee for claims has been calculated as shown below:

CLAIMS	FOR	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE	CVTCI	JLATIONS
	TOTAL CLAIMS (37 C.F.R § 1.16(c))	43		64		0	x \$18.00 =	\$	0
The transfer ago and	INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b))	8		9	0		x \$84.00 =	\$	0
ing.	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. § 1.16(d)) + \$280.00							\$	0.00
	Total of Above Calculations							\$	Ó
The section of	Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28).								0
G No. 1 Market	TOTAL=							s	0

	A verified statement to establish small entity status under 37 C.F.R. §§ 1.9, 1.27: is enclosed, was previously filed.
	A check is enclosed to cover the \$ fee for the presentation of additional claims.
<u> </u>	Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that Applicant has inadvertently overlooked the need for a petition for an extension of time.
	Applicant hereby petitions for a: one month (37 C.F.R. § 1.17(a): \$110.00/\$55.00) two month (37 C.F.R. § 1.17(b): \$400.00/\$200.00) three month (37 C.F.R. § 1.17(c): \$920.00/\$460.00) four month (37 C.F.R. § 1.17(d): \$1,440.00/\$720.00) five month (37 C.F.R. § 1.17(d): \$1,960.00/\$980.00) extension of time pursuant to 37 C.F.R. § 1.136(a). If an additional extension of time is required, please consider this a petition therefor. A check is enclosed to cover the \$ extension of time fee under 37 C.F.R. § 1.17.
	Charge Deposit Account No. 23-0630 in the amount of \$

Date: March 26, 2003

X The Commissioner is hereby authorized to credit any overpayment or to charge any fees under 37 C.F.R. §§ 1.16, 1.17 in connection with this communication to our Deposit Account No. 23-0630.

Respectfully submitted,

Stephen J. Schultz

Registration No. 29,108

WATTS, HOFFMANN, FISHER & HEINKE CO., L.P.A. 1100 Superior Ave., Ste. 1750 Cleveland, Ohio 44114

Phone: (216) 241-6700 Facsimile: (216) 241-8151

AR.26.2003 1:35PM WATTS,HOFFMAN&FISHER





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

USAMA M. FAYYAD et al.

Serial No.:

09/401,439

Group Art Unit: 2163

Filed:

September 22, 1999

Examiner: Colon, Catherine M.

For:

Data Mining for Managing Marketing Resources

Docket:

15-948

Watts, Hoffmann, Fisher & Heinke Co., L.P.A.

PO Box 99839

Cleveland, Ohio 44199-0839 Telephone: (216) 241-6700 Telecopier: (216) 241-8151

Assistant Commissioner for Patents **Box AF Amendment** Washington, D.C. 20231

RULE 116 AMENDMENT

Dear Sir:

In response to the Office Action dated January 24, 2003, please amend this application as follows:

In the claims:

Please amend claim 1 to incorporate the subject matter of allowable claim 9

. (Three times Amended) A method for managing a marketing campaign,

comprising:

providing a data mining engine capable of being trained with training data; and capable thereafter of performing inferences relative to the training data and on additional data;

providing a user database containing observed characteristics of each one of a set of users, the characteristics comprising at least one of: (a) at least one of the user's attributes,

MS 115377.1